

SECTORS



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/771,086	01/27/2001	Alexander Lerner	5403/CMP/RKK

CONFIRMATION NO. 6910

## FORMALITIES LETTER



\*OC00000005854175\*

PATENT COUNSEL  
APPLIED MATERIALS, INC.  
Legal Affairs Department  
P.O. Box 450A  
Santa Clara, CA 95052

REC'D MAR 21 2001

Date Mailed: 03/13/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/10/2001 HGBREH1 00000080 501074 09771086

01 FC:105 130.00 CH

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alexander LERNER, et al

Assignee: APPLIED MATERIALS, INC.

Title: LABYRINTH SEAL FOR BEARING IN BRUSH MOUNTING ASSEMBLY FOR SE

Serial No.: 09/771,086

Confirmation Number Assignment: 6910

Filed: 27JA2001

Group Art Unit: 1746

Attorney Docket No.: 005403 /CMP

Examiner: Unknown

Santa Clara, California

Box: Missing Parts

ASSISTANT COMMISSIONER OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

Attention: Manager

Applications Division

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the Notice to File Missing Parts of Application - Filing Date Granted mailed by the United States Patent and Trademark Office on March 13, 2001, the following documents are enclosed to complete the filing of the above-identified patent application:

1. A combined declaration and power of attorney signed by one inventor (Alexander Lerner) in compliance with 37 CFR 1.63 (3 pages);
2. A combined declaration and power of attorney signed by one inventor (John M. White) in compliance with 37 CFR 1.63 (3 pages);
3. A combined declaration and power of attorney signed by one inventor (Shou-Sang Chang) in compliance with 37 CFR 1.63 (3 pages);
4. A combined declaration and power of attorney signed by one inventor (Michael Sugarman) in compliance with 37 CFR 1.63 (3 pages);
5. A copy of the Notice to File Missing Parts of Application - Filing Date Granted

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account 50-1074;

1.	Filing Fee - Original Patent Application (37 CFR 1.16(a))	\$---
6.	Fees for claims	
	— each independent claim in excess of 3 (37 CFR 1.16(f))	\$---
	— each claim in excess of 20 (37 CFR 1.16(c))	\$---
	<input checked="" type="checkbox"/> Late Payment of filing fee or late filing of original declaration or oath - (37 CFR 1.16(e))	\$130.00
	Total Completion Fees	<u>\$130.00</u>

3. Applicant petitions for an extension of time, the fees for which are set out in 37 CFR 1.17(a)-(d), for the total number of months checked below:

Extension (Months)      Fee for other than Small Entity

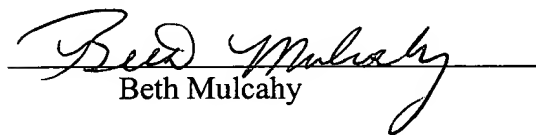
<input type="checkbox"/> One Month	\$ 110.00
<input type="checkbox"/> Two Months	\$ 380.00
<input type="checkbox"/> Three Months	\$ 870.00
<input type="checkbox"/> Four Months	\$1,360.00

If an additional extension of time is required please consider this a petition therefor.

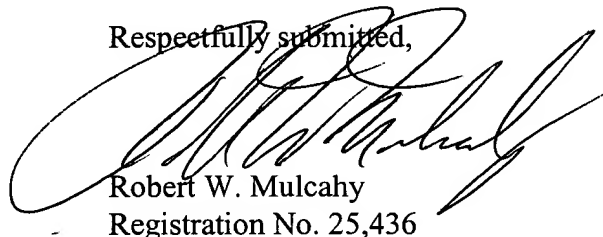
7. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No.50-1074.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above-named patent application and justify the filing date of January 27, 2001. Please telephone the undersigned at (408) 563-2726 , if there are any questions regarding this matter.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231 on 4-2-01

  
Beth Mulcahy

Respectfully submitted,

  
Robert W. Mulcahy  
Registration No. 25,436